



*Indiana University Board of Trustees
Committee and Business Meetings*

REVISED AGENDAS

INDIANA UNIVERSITY BLOOMINGTON

**PRESIDENTS HALL
FRANKLIN HALL**

AUGUST 12, 2016



AGENDA

INDIANA UNIVERSITY BOARD OF TRUSTEES BUSINESS MEETING INSTALLATION AND ELECTION

**PRESIDENTS HALL
FRANKLIN HALL
INDIANA UNIVERSITY BLOOMINGTON**

**FRIDAY, AUGUST 12, 2016
8:30 a.m. – 9:00 a.m.**

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I. GENERAL BUSINESS

Trustee Business

1. Report on gubernatorial appointments:

Gov. Michael R. Pence has appointed W. Quinn Buckner, Vice President of Communications, Pacers Sports and Entertainment, Melanie S. Walker, Chief Executive Officer, Tsuchiya Group North America, and has re-appointed James T. Morris, Vice President, Pacers Sports and Entertainment to three-year terms on the Board of Trustees, each beginning July 1, 2016 and ending June 30, 2019.

2. Administration of Oath of Office
3. Election of Chair for 2016-2017
4. Report on the trustee election of June 30, 2016:

MaryEllen K. Bishop has been re-elected by the Alumni of Indiana University to serve as trustee for the term beginning July 1, 2016 and ending June 30, 2019. Votes were cast as follows:

Candidates	
MaryEllen K. Bishop	6,471
Total	6,471

5. Administration of Oath of Office.
6. Appointment of Board of Trustees committees.

II. ADJOURN

AGENDA

FACILITIES AND AUXILIARIES COMMITTEE

August 12, 2016
9:00 a.m.-9:45 a.m.
Presidents Hall
Franklin Hall
Indiana University Bloomington

COMMITTEE: Members to be determined.

- I. Welcome
- II. 2017-2019 Legislative Capital Request Approval Thomas A. Morrison
- III. Consent Items Thomas A. Morrison
 - A. **IUPUI** – Bright House Networks Easement
 - B. **IUPUI** – Indianapolis Power & Light Easement

Action Item: Approval is requested for consent items per the appendix to the agenda.

- IV. New Business
- V. Old Business
- VI. Adjournment

APPENDIX

FACILITIES AND AUXILIARIES COMMITTEE

August 12, 2016
9:00 a.m.-9:45 a.m.
Presidents Hall
Franklin Hall
Indiana University Bloomington

II. 2017-2019 LEGISLATIVE CAPITAL REQUEST APPROVAL

Action Item: Consistent with the 2017-19 Biennial Legislative Request process and instructions issued by the Indiana Commission for Higher Education and the State Budget Agency, approval of the Board is requested for the Indiana University Legislative Capital Request. The request includes the special repair and rehabilitation priorities below.

Special Repair and Rehabilitation Projects:

Priorities:

IUB – Old Crescent Renovation – Phase III (Ballantine and Geological Sciences)

IU School of Medicine Health Sciences Renovation (Health Sciences and Dunlap)

IU School of Medicine Research V

Regional Campus Deferred Maintenance – Phase III

IUB – Lab Renovations (Chemistry and Jordan Hall)

III. CONSENT ITEMS

IUPUI – Bright House Networks Power Lines Easement

Action Item: Approval of the Board is requested to grant a non-exclusive perpetual easement to Bright House Networks for the installation and maintenance of small utilities boxes on the ground as part of Indianapolis Power & Light Company's burying of the power lines along the west side of West Street between Michigan Street and Indiana Avenue on the IUPUI campus.

The legal description of the easement is filed in the office of the Secretary of The Trustees of Indiana University.

It is requested that the Trustees adopt the following resolution to authorize a grant of a perpetual easement for this purpose.

RESOLUTION OF THE TRUSTEES OF INDIANA UNIVERSITY

WHEREAS, the fee simple title to the real estate described herein (the "Real Estate"), located in the City of Indianapolis, County of Marion, State of Indiana, is now vested in The Trustees of Indiana University (the "Trustees"); and

WHEREAS, the Trustees wish to grant a non-exclusive perpetual easement (the "Easement") along and under the Real Estate to Bright House Networks for the installation and maintenance of small utilities boxes on the ground as part of Indianapolis Power & Light Company's burying of the power lines along the west side of West Street between Michigan Street and Indiana Avenue on the IUPUI campus.

NOW, THEREFORE, BE IT RESOLVED, that it will serve the best interests of Indiana University to grant the Easement described herein to Bright House Networks and said Easement is hereby granted.

IUPUI – Indianapolis Power & Light Power Lines Easement

Action Item: Approval of the Board is requested to grant a non-exclusive perpetual easement to Indianapolis Power & Light Company for the installation and maintenance of small utilities boxes on the ground as part of Indianapolis Power & Light Company's burying of the power lines along the west side of West Street between Michigan Street and Indiana Avenue on the IUPUI campus.

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WHEREAS, the Trustees wish to grant a non-exclusive perpetual easement (the "Easement") along and under the Real Estate to Indianapolis Power & Light Company ("IPL") for the installation and maintenance of small utilities boxes on the ground as part of IPL's burying of the power lines along the west side of West Street between Michigan Street and Indiana Avenue on the IUPUI campus.

NOW, THEREFORE, BE IT RESOLVED, that it will serve the best interests of Indiana University to grant the Easement described herein to IPL and said Easement is hereby granted.

AGENDA

FINANCE, AUDIT AND STRATEGIC PLANNING COMMITTEE

August 12, 2016
10:00 a.m. – 10:45 a.m.
Presidents Hall
Franklin Hall
Indiana University Bloomington

COMMITTEE: Members to be determined.

- I. Welcome
- II. Fiscal Year 2016 Annual Audit Internal Report and
Fiscal Year 2017 Audit Plan Jacqueline A. Simmons
Stewart T. Cobine

Action Item: Approval is requested of the IU
Internal Audit Charter in compliance with the
Institute of Internal Auditor's standards for
professional practice per the appendix to the
agenda.
- III. Fiscal Year 2016 General Fund Closing Joan Hagen
- IV. Old Business
- V. New Business
- VI. Adjournment

APPENDIX

FINANCE, AUDIT AND STRATEGIC PLANNING COMMITTEE

August 12, 2016
10:00 a.m. – 10:45 a.m.
Presidents Hall
Franklin Hall
Indiana University Bloomington

II. INTERNAL AUDIT CHARTER

Action Item: Approval is requested for the IU Internal Audit Charter in compliance with the Institute of Internal Auditor's standards for professional practice.

MISSION:

The mission of IU Internal Audit ("IUIA") is to provide independent and objective assurance and consulting services for Indiana University ("IU" or "the University") including IU management ("Management") and the Board of Trustees of Indiana University (the "Board"). IUIA assists the University in accomplishing its mission and priorities by bringing a systematic, disciplined, and value-added approach to evaluate and improve the effectiveness of the University's governance structures, risk management processes, and internal controls by providing independent appraisals of the University's financial, operational, information technology and control activities.

The **core values** by which IUIA will seek to achieve its mission and support the University's mission and principles of excellence include:

- **Professional Service:** IUIA will provide timely, high quality, value-added service in a manner that fosters collaboration, and treats clients and colleagues with respect.
- **Compliance:** IUIA will adhere to all applicable laws and regulations, comply with the Institute of Internal Auditors ("IIA") standards and code of ethics, and comply with all Indiana University policies.
- **Integrity:** IUIA will always strive to do the right thing for the right reason; communicate in an honest, direct and transparent manner; be accountable, trustworthy and engaged team members; and earn and maintain the trust of the university community.
- **Excellence:** IUIA will demonstrate a commitment to professional excellence, maintain high professional standards through continuing professional development, be a results focused organization, and IUIA team members will exercise initiative in providing solutions and generating insights for our clients.
- **Intentionality:** IUIA will be intentional about our work by planning, analyzing, evaluating, measuring, deliberating, adjusting, and then moving forward. IUIA will be intentional about our people by mentoring, training, developing and encouraging personal and professional growth.

Section 1. AUTHORITY:

The internal audit activity is established by the Finance, Audit and Strategic Planning Committee of the Board. IUIA responsibilities are defined by the Board as part of their oversight role.

IUIA, with strict accountability for confidentiality and safeguarding records and information, is authorized full, free, and unrestricted access to any and all of the University's information, records, systems, physical properties, and personnel pertinent to carrying out any engagement. All relevant University employees are required to assist IUIA in fulfilling its roles and responsibilities. IUIA will also have free and unrestricted access to senior management and the Board.

Section 2. PROFESSIONAL STANDARDS:

IU Internal Audit will govern itself by adherence to The Institute of Internal Auditors' mandatory guidance including the Definition of Internal Auditing, the Code of Ethics, and the *International Standards for the Professional Practice of Internal Auditing (Standards)*. This mandatory guidance constitutes principles of the fundamental requirements for the professional practice of internal auditing and for evaluating the effectiveness of the internal audit activity's performance. In addition, IUIA will adhere to the University's relevant policies and procedures and IUIA's standard operating procedures manual.

Section 3. ORGANIZATION:

The Associate Vice President & Chief Audit Officer ("AVP & CAO") will report functionally to the Board and administratively (i.e. day to day operations) to the Vice President & General Counsel. The Board will:

1. Approve the internal audit charter.
2. Review the risk based internal audit plan and the internal audit budget and resource plan.
3. Receive communications from the AVP & CAO on IU Internal Audit's performance relative to its plan and other matters.
4. Approve decisions regarding the appointment and removal of the AVP & CAO.
5. Make appropriate inquiries of management and the AVP & CAO to determine whether there is inappropriate scope or resource limitations.

The AVP & CAO will communicate and interact directly with the Board, including in executive sessions and between Board meetings as appropriate.

Section 4. INDEPENDENCE AND OBJECTIVITY:

IUIA will remain free to conduct assurance and consulting services, including matters of audit selection, scope, procedures, frequency, timing, or report content, in a manner that maintains independent and objective mental attitude.

Internal auditors will have no direct operational responsibility or authority over any of the activities audited. Accordingly, they will not implement internal controls, approve

policies, develop procedures, install systems, prepare records, or engage in any other activity that may impair internal auditor's judgment.

Internal auditors will exhibit the highest level of professional objectivity in gathering, evaluating, and communicating information about the activity or process being examined. Internal auditors will make a balanced assessment of all the relevant circumstances and not be unduly influenced by their own interests or by others in forming judgments.

The AVP & CAO will confirm to the Board, at least annually, the organizational independence of the internal audit activity.

Section 5. RESPONSIBILITY:

The scope of internal auditing encompasses, but is not limited to, the examination and evaluation of the adequacy and effectiveness of the organization's governance, risk management, and internal controls as well as the quality of performance in carrying out assigned responsibilities to achieve the organization's stated goals and objectives. This includes:

1. Evaluating risk exposure relating to achievement of the University's strategic objectives.
2. Evaluating the reliability and integrity of information and the means used to identify, measure, classify, and report such information.
3. Evaluating the systems established to ensure compliance with those policies, plans, procedures, laws, and regulations which could have a significant impact on the University.
4. Evaluating the means of safeguarding University assets and, as appropriate, verifying the existence of such assets.
5. Evaluating the effectiveness and efficiency with which resources are employed.
6. Evaluating operations or programs to ascertain whether results are consistent with established objectives and goals.
7. Evaluating governance processes.
8. Evaluating the effectiveness of the risk management processes.
9. Reporting periodically on IUIA's purpose, authority, responsibility, and performance relative to its plan.
10. Reporting significant risk exposures and control issues, including fraud risks, governance issues, and other matters needed or requested by the Board.
11. Administering the whistleblower hotline policy and reporting web site, which includes coordinating the investigation of all reports submitted in a timely and discreet manner.

Section 6. INTERNAL AUDIT PLAN:

At least annually, the AVP & CAO will submit to senior management and the Board an internal audit plan for review and approval. The internal audit plan will consist of a work schedule as well as budget and resource requirements for the next fiscal year. The AVP & CAO will communicate the impact of resource limitations and significant interim changes to senior management and the Board.

The internal audit plan will be developed based on a prioritization of the audit universe using a risk-based methodology, including input of senior management and the Board. The AVP & CAO will review and adjust the plan, as necessary, in response to changes in the organization's business, risks, operations, programs, systems, senior leadership and controls. Any significant deviation from the approved internal audit plan will be communicated to senior management and the Board through periodic activity reports.

Section 7. REPORTING AND MONITORING:

A written report will be prepared and issued by the AVP & CAO or designee following the conclusion of each internal audit engagement and will be distributed as appropriate. Internal audit results will also be communicated to the Board. The internal audit report will include client/management's response and action plans taken or to be taken in regard to the specific findings and recommendations. Management's response should include a timetable for anticipated completion of action to be taken and an explanation for any corrective action that will not be implemented.

IUIA will be responsible for appropriate follow-up on engagement findings and recommendations. All significant findings will remain in an open issues file until cleared.

The AVP & CAO will periodically report to senior management and the Board on IUIA's performance relative to its plan. Reporting will also include significant risk exposures and control issues, including fraud risks, governance issues, and other matters needed or requested by senior management and the Board. The AVP & CAO will also report on instances of fraud or fiscal misconduct that may have a material financial, compliance or reputational impact on the University.

Section 8. QUALITY ASSURANCE IMPROVEMENT PROGRAM:

IU Internal Audit will maintain a quality assurance and improvement program that covers all aspects of the internal audit activity. The program will include an evaluation of the internal audit activity's conformance with the Definition of Internal Auditing and the *Standards* and an evaluation of whether internal auditors apply the Code of Ethics. The program also assesses the efficiency and effectiveness of the internal audit activity and identifies opportunities for improvement.

The AVP & CAO will communicate to senior management and the Board on the quality assurance and improvement program, including results of ongoing internal assessments and external assessments conducted at least every five years.

The **IU Internal Audit Charter** is hereby:

Approved this 12th day of August, in the year 2016.

REVISED AGENDA

ACADEMIC AFFAIRS AND UNIVERSITY POLICIES COMMITTEE

August 12, 2016
11:00 a.m. – 11:45 a.m.
Presidents Hall
Franklin Hall
Indiana University Bloomington

COMMITTEE: Members to be determined.

- I. Welcome
- II. IU Bloomington Campus Report Lauren Robel
- III. Student Report: Reports from the President of Indiana University Student Association and President of IUB Graduate and Professional Government Sara Zaheer
Adam Reneker
- IV. New Degrees John S. Applegate
Lauren Robel
Nasser H. Paydar

Action Item: Approval is requested for the following new degrees:

 - A. **IUB** – Master of Science in Cybersecurity Risk Management
 - B. **IUB** – Master of Science in Health Physics
 - C. **IUB** – Bachelor of Science in Public Health, Fitness and Wellness
 - D. **IUPUI** – Master of Arts in Teaching English to Speakers of Other Languages
 - E. **IUE** – Bachelor of Arts in International Studies
 - F. **IUE** – Bachelor of Science in Applied Health Science, Online
 - G. **IUK** – Bachelor of Science in Applied Health Science, Online
 - H. **IUN** – Bachelor of Arts in Biochemistry
 - I. **IUN** – Bachelor of Science in Biochemistry
 - J. **IUN** – Bachelor of Science in Medical Imaging Technology-Online
 - K. **IUN** – Bachelor of Science in Applied Health Science, Online
 - L. **IUS** – Bachelor of Science in Applied Health Science, Online
 - M. **IUSB** – Bachelor of Science in Clinical Laboratory Science
 - N. **IUSB** – Bachelor of Science in Applied Health Science, Online

- V. Personnel
Action Item: Approval is requested for personnel items per the appendix to the agenda.
- Michael A. McRobbie
Lauren Robel
Nasser H. Paydar
- VI. Old Business
VII. New Business
VIII. Adjournment

REVISED APPENDIX

ACADEMIC AFFAIRS AND UNIVERSITY POLICIES COMMITTEE

August 12, 2016
11:00 a.m. – 11:45 a.m.
Presidents Hall
Franklin Hall
Indiana University Bloomington

V. PERSONNEL

1. *Action Item:* Approval is requested for the following personnel items:

INDIANA UNIVERSITY – PRESIDENT MICHAEL A. McROBBIE

Initial Appointments

For John A. Sejdinaj, Vice President and Chief Financial Officer beginning August 29, 2016.

IUB – PROVOST AND EXECUTIVE VICE PRESIDENT LAUREN ROBEL

Initial Appointments

College of Arts and Sciences

For Raiford Guins, Professor of Cinema and Media Studies, with tenure, The Media School, beginning August 1, 2016.

For David Lyndon Bosco, Associate Professor of International Studies, with tenure, School of Global and International Studies, beginning August 1, 2016.

Jacobs School of Music

For Anne Elizabeth Epperson, Professor of Collaborative Piano, with tenure, beginning August 1, 2016.

For Norman Krieger, Professor of Piano, with tenure, beginning August 1, 2016.

Maurer School of Law

For David Gamage, Professor of Law, with tenure, beginning August 1, 2016.

School of Public Health

For Nianjun Liu, Professor of Epidemiology and Biostatistics, with tenure, beginning August 1, 2016.

Reappointments and Changes in Status

College of Arts and Sciences

For Stephanie A. Sanders, Provost's Professor of Gender Studies, the additional title of Peg Brand Chair for the period July 1, 2016 to June 30, 2020.

Jacobs School of Music

For Simin Ganatra, a change in title from Professor of Practice to Professor of Violin, with tenure, and Chairperson of the Department of Strings, beginning August 1, 2016.

For Grigory Kalinovsky, Professor of Strings, the additional title of Starling Professor for the period August 1, 2015 to May 31, 2018.

For Joseph Anton Swensen, Professor of Strings, the additional title of Starling Professor for the period August 1, 2015 to May 31, 2017.

Kelley School of Business

For Josh Perry, Associate Professor of Business Law and Ethics, and Chairperson of the Undergraduate Program, the additional title of Glaubinger Chair of Business Administration for the period July 1, 2016 to July 31, 2019.

School of Education

Terrence C. Mason, a change in title from Interim Dean to Dean of the School of Education beginning August 1, 2016 through July 1, 2018.

School of Public Health

For Jaroslaw Harezlak, a change in title from Associate Professor of Neurology and of Biostatistics, IUPUI, to Associate Professor of Epidemiology and Biostatistics, with tenure, IUB, beginning August 1, 2016.

IUPUI – CHANCELLOR AND EXECUTIVE VICE PRESIDENT NASSER H. PAYDAR

Initial Appointments

Kelley School of Business

David B. Farber, Associate Professor, with tenure, effective July 1, 2016.

School of Medicine

Lynda F. Bonewald, Professor, with tenure, Departments of Anatomy and Cell Biology, and Orthopaedic Surgery, and Director, Indiana Center for Musculoskeletal Health, effective July 1, 2016.

Andrew S. Deane, Associate Professor, with tenure, Department of Anatomy and Cell Biology, effective August 1, 2016.

T. George Hornby, Professor, with tenure, Department of Physical Medicine and Rehabilitation, effective August 1, 2016.

Amy E. Krambeck, Michael O. Koch Professor of Urology, Professor, with tenure, Department of Urology, effective July 1, 2016.

School of Science

Partha Basu, Professor, with tenure, and Chair, Department of Chemistry and Chemical Biology, effective July 1, 2016.

Reappointments and Changes of Status

School of Health and Rehabilitation Sciences

For Rebecca E. Porter, Associate Professor, drop the title of Associate Vice Chancellor for Enrollment Services, add the title of Interim Dean, School of Health and Rehabilitation Sciences, effective June 1, 2016.

School of Science

For David J. Malik, Chancellors' Professor, Professor, Department of Chemistry and Chemical Biology, drop the title of Interim Chair, Department of Chemistry and Chemical Biology, add the title of Interim Dean, University College and Interim Associate Vice Chancellor for Undergraduate Education, effective August 1, 2016.

EXECUTIVE SESSION*

Friday, August 12, 2016
12:00 p.m. – 1:30 p.m.
Room 106
Franklin Hall
Indiana University Bloomington

* Executive Session - Items authorized by Open Door Law - Sec. 5-14-1.5-6.1(b)(B); 5-14-1.5-6.1(b)(3); 5-14-1.5-6.1(b)(5); 5-14-1.5-6.1(b)(7)



REVISED AGENDA
INDIANA UNIVERSITY BOARD OF TRUSTEES
BUSINESS MEETING

PRESIDENTS HALL
FRANKLIN HALL
INDIANA UNIVERSITY BLOOMINGTON

FRIDAY, AUGUST 12, 2016
1:45 p.m. – 2:30 p.m.

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I. GENERAL BUSINESS

1. *Action Item:* Approval is requested for the minutes of the meeting of June 17, 2016.
2. *Action Item:* Approval is requested for the Board of Trustees' three-year calendar (2016-2019).

II. CHAIR'S REPORT

1. Remarks from the Chair

III. PRESIDENT'S REPORT

1. Remarks from Michael A. McRobbie

IV. FACULTY REPORT

1. Remarks from Rebecca Spang, Rachel Applegate, and Joseph Wert, Faculty Co-Chairs, University Faculty Council.

V. REPORTS AND PRESENTATIONS

VI. COMMITTEE ACTION ITEMS

1. *Action Item:* Approval is requested for all action items recommended by the standing committees per the appendix to the agenda.

VII. APPROVAL OF CONFLICT-OF-INTEREST STATEMENTS

1. *Action Item:* Approval is requested for conflict-of-interest statements.

VIII. AWARD OF DEGREES

1. *Action Item:* Approval is requested for the awarding of degrees as of June 30 and July 31, 2016.

IX. OLD BUSINESS

X. NEW BUSINESS

1. *Action Item:* Approval is requested for anonymous naming matters that will be announced at a later date.

XI. NEXT MEETING

October 7, 2016
Indiana University-Purdue University Columbus
Columbus, Indiana

XII. ADJOURNMENT

XIII. REVISED APPENDIX A – ACTION ITEMS

Indiana University Board of Trustees
Business Meeting

Presidents Hall
Franklin Hall
Indiana University Bloomington

Friday, August 12, 2016
1:45 p.m. – 2:30 p.m.

I. FACILITIES AND AUXILIARIES COMMITTEE

2017-2019 LEGISLATIVE CAPITAL REQUEST APPROVAL

1. *Action Item:* Consistent with the 2017-19 Biennial Legislative Request process and instructions issued by the Indiana Commission for Higher Education and the State Budget Agency, approval of the Board is requested for the Indiana University Legislative Capital Request. The request includes the special repair and rehabilitation priorities below.

Special Repair and Rehabilitation Projects:

Priorities:

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II. FINANCE, AUDIT AND STRATEGICE PLANNING COMMITTEE

INTERNAL AUDIT CHARTER

- 1. *Action Item:* Approval is requested for the IU Internal Audit Charter in compliance with the Institute of Internal Auditor's standards for professional practice.

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The AVP & CAO will confirm to the Board, at least annually, the organizational independence of the internal audit activity.

Section 5. RESPONSIBILITY:

The scope of internal auditing encompasses, but is not limited to, the examination and evaluation of the adequacy and effectiveness of the organization's governance, risk management, and internal controls as well as the quality of performance in carrying out assigned responsibilities to achieve the organization's stated goals and objectives. This includes:

1. Evaluating risk exposure relating to achievement of the University's strategic objectives.
2. Evaluating the reliability and integrity of information and the means used to identify, measure, classify, and report such information.
3. Evaluating the systems established to ensure compliance with those policies, plans, procedures, laws, and regulations which could have a significant impact on the University.
4. Evaluating the means of safeguarding University assets and, as appropriate, verifying the existence of such assets.
5. Evaluating the effectiveness and efficiency with which resources are employed.
6. Evaluating operations or programs to ascertain whether results are consistent with established objectives and goals.
7. Evaluating governance processes.
8. Evaluating the effectiveness of the risk management processes.
9. Reporting periodically on IUIA's purpose, authority, responsibility, and performance relative to its plan.
10. Reporting significant risk exposures and control issues, including fraud risks, governance issues, and other matters needed or requested by the Board.
11. Administering the whistleblower hotline policy and reporting web site, which includes coordinating the investigation of all reports submitted in a timely and discreet manner.

Section 6. INTERNAL AUDIT PLAN:

At least annually, the AVP & CAO will submit to senior management and the Board an internal audit plan for review and approval. The internal audit plan will consist of a work schedule as well as budget and resource requirements for the next fiscal year. The AVP & CAO will communicate the impact of resource limitations and significant interim changes to senior management and the Board.

The internal audit plan will be developed based on a prioritization of the audit universe using a risk-based methodology, including input of senior management and the Board. The AVP & CAO will review and adjust the plan, as necessary, in response to changes in the organization's business, risks, operations, programs, systems, senior leadership and controls. Any significant deviation from the approved internal audit plan will be communicated to senior management and the Board through periodic activity reports.

Section 7. REPORTING AND MONITORING:

A written report will be prepared and issued by the AVP & CAO or designee following the conclusion of each internal audit engagement and will be distributed as appropriate. Internal audit results will also be communicated to the Board. The internal audit report will include client/management's response and action plans

taken or to be taken in regard to the specific findings and recommendations. Management's response should include a timetable for anticipated completion of action to be taken and an explanation for any corrective action that will not be implemented.

IUIA will be responsible for appropriate follow-up on engagement findings and recommendations. All significant findings will remain in an open issues file until cleared.

The AVP & CAO will periodically report to senior management and the Board on IUIA's performance relative to its plan. Reporting will also include significant risk exposures and control issues, including fraud risks, governance issues, and other matters needed or requested by senior management and the Board. The AVP & CAO will also report on instances of fraud or fiscal misconduct that may have a material financial, compliance or reputational impact on the University.

Section 8. QUALITY ASSURANCE IMPROVEMENT PROGRAM:

IU Internal Audit will maintain a quality assurance and improvement program that covers all aspects of the internal audit activity. The program will include an evaluation of the internal audit activity's conformance with the Definition of Internal Auditing and the *Standards* and an evaluation of whether internal auditors apply the Code of Ethics. The program also assesses the efficiency and effectiveness of the internal audit activity and identifies opportunities for improvement.

The AVP & CAO will communicate to senior management and the Board on the quality assurance and improvement program, including results of ongoing internal assessments and external assessments conducted at least every five years.

The **IU Internal Audit Charter** is hereby:

Approved this 12th day of August, in the year 2016.

III. ACADEMIC AFFAIRS AND UNIVERSITY POLICIES COMMITTEE

1. *Action Item:* Approval is requested for the following personnel items:

INDIANA UNIVERSITY – PRESIDENT MICHAEL A. McROBBIE

For John A. Sejdinaj, Vice President and Chief Financial Officer beginning August 29, 2016.

IUB – PROVOST AND EXECUTIVE VICE PRESIDENT LAUREN ROBEL

Initial Appointments

College of Arts and Sciences

For Raiford Guins, Professor of Cinema and Media Studies, with tenure, The Media School, beginning August 1, 2016.

For David Lyndon Bosco, Associate Professor of International Studies, with tenure, School of Global and International Studies, beginning August 1, 2016.

Jacobs School of Music

For Anne Elizabeth Epperson, Professor of Collaborative Piano, with tenure, beginning August 1, 2016.

For Norman Krieger, Professor of Piano, with tenure, beginning August 1, 2016.

Maurer School of Law

For David Gamage, Professor of Law, with tenure, beginning August 1, 2016.

School of Public Health

For Nianjun Liu, Professor of Epidemiology and Biostatistics, with tenure, beginning August 1, 2016.

Reappointments and Changes in Status

College of Arts and Sciences

For Stephanie A. Sanders, Provost's Professor of Gender Studies, the additional title of Peg Brand Chair for the period July 1, 2016 to June 30, 2020.

Jacobs School of Music

For Simin Ganatra, a change in title from Professor of Practice to Professor of Violin, with tenure, and Chairperson of the Department of Strings, beginning August 1, 2016.

For Grigory Kalinovsky, Professor of Strings, the additional title of Starling Professor for the period August 1, 2015 to May 31, 2018.

For Joseph Anton Swensen, Professor of Strings, the additional title of Starling Professor for the period August 1, 2015 to May 31, 2017.

Kelley School of Business

For Josh Perry, Associate Professor of Business Law and Ethics, and Chairperson of the Undergraduate Program, the additional title of Glaubinger Chair of Business Administration for the period July 1, 2016 to July 31, 2019.

School of Education

Terrence C. Mason, a change in title from Interim Dean to Dean of the School of Education beginning August 1, 2016 through July 1, 2018.

School of Public Health

For Jaroslaw Harezlak, a change in title from Associate Professor of Neurology and of Biostatistics, IUPUI, to Associate Professor of Epidemiology and Biostatistics, with tenure, IUB, beginning August 1, 2016.

IUPUI – CHANCELLOR AND EXECUTIVE VICE PRESIDENT NASSER H. PAYDAR

Initial Appointments

Kelley School of Business

David B. Farber, Associate Professor, with tenure, effective July 1, 2016.

School of Medicine

Lynda F. Bonewald, Professor, with tenure, Departments of Anatomy and Cell Biology, and Orthopaedic Surgery, and Director, Indiana Center for Musculoskeletal Health, effective July 1, 2016.

Andrew S. Deane, Associate Professor, with tenure, Department of Anatomy and Cell Biology, effective August 1, 2016.

T. George Hornby, Professor, with tenure, Department of Physical Medicine and Rehabilitation, effective August 1, 2016.

Amy E. Krambeck, Michael O. Koch Professor of Urology, Professor, with tenure, Department of Urology, effective July 1, 2016.

School of Science

Partha Basu, Professor, with tenure, and Chair, Department of Chemistry and Chemical Biology, effective July 1, 2016.

Reappointments and Changes of Status

School of Health and Rehabilitation Sciences

For Rebecca E. Porter, Associate Professor, drop the title of Associate Vice Chancellor for Enrollment Services, add the title of Interim Dean, School of Health and Rehabilitation Sciences, effective June 1, 2016.

School of Science

For David J. Malik, Chancellors' Professor, Professor, Department of Chemistry and Chemical Biology, drop the title of Interim Chair, Department of Chemistry and Chemical Biology, add the title of Interim Dean, University College and Interim Associate Vice Chancellor for Undergraduate Education, effective August 1, 2016.